

# Awareness and Compliance with Prohibition of Insider Trading

## Act

In order to strengthen the company's awareness and compliance with the prevention of insider trading, the company's website discloses the prohibition of directors or employees and other insiders from using undisclosed information in the market to make profits from buying and selling securities and its specific implementation status:

- The company formulates internal operating standards for major information processing. It is prohibited to leak any important internal information that you know.
- The company has formulated a code of integrity management and a code of ethical conduct, and is committed to improving awareness and compliance with laws and regulations to prevent insider trading.
- Promote the prevention of insider trading and integrity management through emails within the company.
- The company publicizes that insider trading is prohibited and directors are not allowed to trade in its stocks during the closed period of 30 days before the announcement of the annual financial report and 15 days before the announcement of the quarterly financial report.
- Legal promotion of equity transactions by company insiders.

### Integrity management (including insider trading) education and training

Time	Training unit	Course content	Hours	number
111/2/21	ALLTOP IR	Insider Legal Advocacy	e-mail	9
111/7/12~111/7/15	Deloitte Taiwan	2022 TIPS (Level A) and Corporate Governance Intellectual Property and Legal Compliance Training Course	25	1
111/08/03	ALLTOP HR	Strengthen corporate governance - Internal education and training promotion of integrity management, corporate social responsibility and human rights protection	0.5	11

Time	Training unit	Course content	Hours	number
111/09/16	ALLTOP IR	Insider Legal Advocacy	e-mail	9
111/10/26	ALLTOP HR	Case studies on integrity management/prevention of insider trading	e-mail	84
2023/01/12	CNFI	2022 Cross-Strait Business Secret Protection Practice Development Online Seminar	3	3
2023/03/08	ALLTOP IR	Insider Legal Advocacy	e-mail	10
2023/04/07	TMU/ Law School	New technology and business secrets, national security information security legal system	5.5	1
2023/05/12	ALLTOP IR	Insider Legal Advocacy	e-mail	9
2023/05/26	ARDF	Illegal forms, legal responsibilities and case analysis of corporate "business competition behavior"	3	1
2023/07/12	ALLTOP IR	Insider Legal Advocacy	e-mail	16
2023/10/18	IIA	Case analysis of trade secret law and non-competition	6	1
2023/11/02	ALLTOP HR	Strengthen corporate governance - Internal education and training promotion of integrity management, corporate social responsibility and human rights protection	0.5	10
2023/11/29	ALLTOP HR	Case studies on integrity management/prevention of insider trading	e-mail	83