

Knowledge and compliance of laws to prevent insider trading

In order to strengthen the company's awareness and compliance with the prevention of insider trading, the company's website discloses the prohibition of directors or employees and other insiders from using undisclosed information in the market to make profits from buying and selling securities and its specific implementation status:

- The company formulates internal operating standards for major information processing. It is prohibited to leak any important internal information that you know.
- The company has formulated a code of integrity management and a code of ethical conduct, and is committed to improving awareness and compliance with laws and regulations to prevent insider trading.
- Promote the prevention of insider trading and integrity management through emails within the company.
- The company publicizes that insider trading is prohibited and directors are not allowed to trade in its stocks during the closed period of 30 days before the announcement of the annual financial report and 15 days before the announcement of the quarterly financial report.
- Legal promotion of equity transactions by company insiders.

Integrity management (including insider trading) education and training

Time	Training unit	Course content	Hours	number
2024/01/25	ALLTOP IR	Insider Legal Advocacy	e-mail	19
2024/03/21	ARDF	Legal liability and internal control and audit practices for "employee fraud".	6	1
2024/04/19	ALLTOP IR	Insider Legal Advocacy	e-mail	19
2024/05/23	Taipei Bar Association	Practical cases involving infringement of trademark law	3	1
2024/07/31	ALLTOP IR	Insider Legal Advocacy	e-mail	18
2024/10/24	ARDF	Trade Secret Protection-Prosecution Practice	6	1
2024/11/6	HR	Strengthen corporate governance - promote internal education and training on integrity	0.5	12

Time	Training unit	Course content	Hours	number
		management, corporate social responsibility and human rights protection		
2024/11/21	ALLTOP IR	Insider Legal Advocacy	e-mail	18
2024/11/21	ARDF	Personal data and privacy protection and internal control management practices	3	1
2024/12/4	HR	Case study on integrity management/prevention of insider trading	e-mail	78
2025/02/05	ALLTOP IR	Insider Legal Advocacy	e-mail	18
2025/05/07	ALLTOP IR	Insider Legal Advocacy	e-mail	18
2025/07/28	ALLTOP IR	Insider Legal Advocacy	e-mail	18
2025/10/31	ALLTOP IR	Insider Legal Advocacy	e-mail	18
2025/11/06	ALLTOP HR	Strengthen corporate governance - promote internal education and training on integrity management	0.5	11
2025/11/11	ALLTOP HR	Strengthen corporate governance - training on integrity management	e-mail	80
2025/11/18	ALLTOP HR	Case studies on integrity management/prevention of insider trading	e-mail	80
2025/12/11	ARDF	Corporate Legal Liability Practice Seminar: Analysis of Recent Major Judicial Cases and Regulatory Penalties under the Securities Exchange Law	3	1